

AdviserPlus/Serviceing Adviser – Appointment and Fee Authorisation Form

If you would like help completing this form, please email info@generatekiwisaver.co.nz or phone us on 0800 855 322.

Please use this form if you wish to appoint a Financial Advice Provider or one of its Authorised Bodies to provide you with ongoing advisory and administrative services in relation to your membership of the Generate KiwiSaver Scheme and/or the Generate Unit Trust Scheme. The person that you appoint will be your 'Serviceing Adviser'. This form authorises Generate Investment Management Limited ('Manager' or 'us'), as the Manager of the schemes, to deduct and pay the Serviceing Fee(s) from your relevant scheme account(s) to your Serviceing Adviser, provided that they have an agreement in place with the Manager or one of its related parties.

Member Details

Title _____ First Name _____ Middle Name _____

Surname _____ Date of Birth

D	D	M	M	Y	Y	Y	Y
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Residential Address _____

City _____ Country _____ Postcode _____

Mobile _____ Email _____

IRD No.

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 If you don't know your IRD number, please call the IRD on 0800 227 774 or visit www.ird.govt.nz/tasks/find-my-ird-number

Generate KiwiSaver Scheme _____ Account Number

G	E	N							
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(If already a Generate KiwiSaver Scheme member)

Serviceing Fee payable

The Serviceing Fee to be deducted and paid to my Serviceing Adviser is _____ % (up to 0.25%) of the average daily value of my Generate KiwiSaver Scheme entitlements each year.

Generate Unit Trust Scheme (Managed Funds) _____ Account Number

G	M	F							
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(If already a Generate Managed Funds member)

Serviceing Fee payable

The Serviceing Fee to be deducted and paid to my Serviceing Adviser is _____ % (up to 0.75%) of the average daily value of my Generate Unit Trust Scheme entitlements each year.

Acknowledgement

- I acknowledge and agree that:
- the Serviceing Fee(s) payable, as recorded above, has been disclosed to me by my Serviceing Advise and may be charged to me and paid to my Serviceing Adviser directly from my relevant scheme account(s);
 - the Serviceing Fee(s) will be paid monthly, in arrears, by cancelling the equivalent amount of the units I hold in my relevant scheme account(s) and will continue to be paid until this authority is revoked or terminated;
 - I am permitted to revoke this authority at any time. I will notify the Manager if I want to terminate the appointment of a Serviceing Adviser or appoint a new Serviceing Adviser;
 - the Manager may in its sole discretion terminate this authority at any time (but is not required to do so) and will notify me in such event;
 - fees will begin accruing once all administrative steps have been completed and may continue to be charged until the appointment of the Serviceing Adviser is terminated by me or by my personal representative (in the event you are deceased) or the Manager otherwise becomes aware that I am deceased;
 - if I have used an electronic signature, this contract will be legally binding and as valid as if I had signed this document in writing;
 - I have appointed the Adviser as my agent, and I authorise the Manager to follow instructions given by my Adviser with respect to the allocation of contributions to Generate funds and switching between Generate funds. I hold harmless and indemnify the Manager for any loss or damage resulting from acting on such instructions in good faith;
 - My Serviceing Adviser is available to me upon my request but is not responsible for actively monitoring my account. It is my responsibility to consider any changes in my circumstances and seek advice from my Serviceing Adviser when needed.

Signature: _____ Date:

D	D	M	M	Y	Y	Y	Y
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Where to send this form (Please include audit trail where electronic signature is used)

Email return: Please scan this completed form and email it to us at info@generatekiwisaver.co.nz or
Postal return: Please send this completed form to: Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142

Serviceing Adviser Information (to be completed with your adviser)

Name of Adviser _____ Adviser Code _____

Name of Financial Advice Provider or Authorised Body _____