

Trans-Tasman Transfer Form – Minor

Please upload this completed form via the Generate App or email to us.

If you would like help completing this form, please email info@generatekiwisaver.co.nz or phone us on 0800 855 322 or +6496005100 (overseas).

Please use this form to transfer your KiwiSaver Scheme savings to a complying Australian Superannuation fund. You are eligible to transfer your KiwiSaver Scheme savings if you have left New Zealand to live permanently in Australia and the Australian Superannuation fund you are transferring to accepts transfers from New Zealand KiwiSaver Schemes. If you haven't done so already, please check with your Australian provider that this is the case before completing this form.

Once all requirements have been fulfilled and we obtain confirmation from the Inland Revenue regarding Government contributions (allow 10 working days for this process), your withdrawal will be processed within 5 working days. For payments to overseas bank accounts, kindly allow up to 15 days from the date of payment for the funds to clear.

Document checklist:

Please complete the checklist below and supply all the relevant supporting documents.

- ☐ Completed application form
- ☐ Complete Statutory Declaration

We require the following documentation:

- ☐ A **certified** copy of your passport, New Zealand driver licence or firearms licence. Please refer to page 3 for further information on identity documents required for the minor and parent/guardian.
- ☐ A letter from your Australian Superannuation fund confirming their acceptance of KiwiSaver Scheme transfers and their bank account details for the transfer. It must show the bank account name, bank account number and swift code.
- ☐ Evidence of your permanent residence in your parent/guardian's name, at an Australian address, for example:
 - Bank statement; or
 - A recent utility bill, e.g. water, electricity, gas, internet, landline (not mobile); or
 - Signed tenancy/leasing agreement for an overseas property; or
 - Signed copy of a property sale and purchase agreement; or
 - A letter for car or house insurance; or
 - A letter for health of life insurance must be dated within 3 months.
- ☐ Evidence of your departure from New Zealand, for example:
 - Evidence of confirmed travel arrangements, e.g. plane ticket, flight itinerary, boarding pass; or
 - Evidence of your Australian Tax status issued by the relevant Australian tax authority; or
 - A copy of your passport page showing arrival stamp; or
 - An international movements/travel record issued by a government agency. In New Zealand, a travel movements record can be obtained from the New Zealand Customs Office at: customs.govt.nz/aboutus/travel-movements-request

Member Details

Title	First Name	Middle Name
<hr/>		
Surname	Date of Birth	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>
<hr/>		
Current Residential Address in Australia		
<hr/>		
City	Country	Postcode
<hr/>		
Home Phone	Work Phone	
<hr/>		
Mobile	Email	
<hr/>		
Generate KiwiSaver Member Number	<div>G E N <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	IRD No. <div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>

If you don't know your IRD number, please call the IRD on 0800 227 774 or visit www.ird.govt.nz/tasks/find-my-ird-number

Prescribed Investor Rate (PIR) (please tick one)

- ☐ 10.5%
- ☐ 17.5%
- ☐ 28%

Note: Before processing this transfer, if approved, if any of the tax payment details differ from what we currently have on record, they will be updated based on the new information provided. Please go to generatekiwisaver.co.nz/whats-my-pir to work out your PIR.

Where to send this form

Via the app: Simply login to your app and upload your completed form and all supporting documentation for processing **or**

Email return: Please scan this completed form and all supporting documentation and email it to us at info@generatekiwisaver.co.nz **or**

Postal return: Please send this completed form and all supporting documentation to: Generate KiwiSaver Scheme, PO Box 91609, Victoria Street West, Auckland 1142

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Complying Australian Superannuation Fund Details

Member Number	Australian Business Number (ABN)
Australian Provider Name	
Postal Address	
	Postcode
Phone	Email

Trans-Tasman Permanent Emigration Transfer Instructions

I have permanently emigrated to Australia and request my KiwiSaver Scheme balance to be transferred to my account in the Australian Superannuation fund named above.

Date you left New Zealand

Payment Details

We will only make payments in New Zealand dollars to a New Zealand bank account in your name (held individually or jointly).
We will not pay to a business bank account.

Account Holder's Name

Account Number

Bank

Swift Code (if an overseas account)

IBAN (if an overseas account)

Privacy Statement

Generate Investment Management Limited (or Generate group companies), Public Trust, any of their authorised agents, and any distributor (each an "Authorised Person") may collect and hold the personal information that you provide to us as part of this application.

Your information will be used by Generate and the Supervisor to manage your relationship with Generate and the Supervisor, to provide products and services to you, to comply with any applicable laws, to offer you further products and services that may be of interest to you and for any other use for which you have given authorisation. We may also disclose your personal information for these purposes to our staff members, related companies, our third party service providers and to the Financial Markets Authority or other applicable regulators. Generate may further use your information to electronically verify your identity. We may pass your information to and check it with the document issuer, official record holder and authorised third parties that Generate has contracted to carry out the verification process. Generate may share your information and the results of the verification process with appropriate third parties (such as a distributor or adviser that will or has been providing services to you) to enable that third party to comply with any applicable laws.

You may request a copy of the information held about you, and if any of the information is incorrect, ask for it to be corrected. You can do this by contacting us by email or call us on 0800 855 322.

For further information about how we handle your personal information, please read our Privacy Statement available at generatewealth.co.nz/privacy-statement/.

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Electronic Verification of Identity and Proof of Address (Required for all Signatories)

If you agree to Electronic Verification of Identity please tick the box below. If we are unable to identify you using this method or you do not consent, you will need to provide certified ID and address documents as per the Non-Electronic Verification of Identity and Proof of Address section below.

Electronic Verification of Identity and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way.

☐ I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.

I have included a copy of my identification – either a current signed Passport or current Driver Licence (front & back) from New Zealand or Australia. Please note, if we are unable to identify you using this method, we will contact you to provide physical documents.

Pursuant to Australian legislative requirements Generate must provide you with the following information if you use any Australian identification documents:

Generate uses identity verification services to verify your identity.

In verifying your information, Generate complies with both the New Zealand Privacy Act 2020 and our Privacy Statement and your rights in relation to your data are included in both the Act and our Privacy Statement at generatewealth.co.nz/privacy-statement/. Generate's use of identity verification services involves third party systems and services.

If you decline or cannot give your consent to Generate's online identity verification process you may be asked to meet face-to-face with an advisor or alternatively obtain certification of the necessary identification documentation by a trusted referee. This can be a Justice of the Peace, Solicitor or Notary Public.

DVS means Document Verification Service and in Australia it is managed by the Framework Administrator represented by the Australian Attorney General's department. You can get information regarding the operation and management for Australian identity documents at architecture.digital.gov.au/document-verification-service-dvs.

Generate's complaints process is available at generatewealth.co.nz/complaints/.

Generate assumes no responsibility or liability to you for errors in the provision of identity verification services or for any actions taken based on the verification information provided.

Non-Electronic Verification of Identity and Proof of Address

If you have opted not to use Electronic Verification of Identity, you will need to provide the following documentation to complete your application.

CERTIFIED COPY OF IDENTIFICATION

OPTION 1

- ☐ Passport; or
- ☐ New Zealand Driver Licence ; or
- ☐ New Zealand Firearms Licence

OPTION 2

- ☐ Birth Certificate; or
- ☐ Citizenship Certificate

AND one of the following:

- ☐ Kiwi Access Card (18+); or
- ☐ Tertiary Student Photo ID; or
- ☐ Current International Driving Permit and a driver licence from another country

CERTIFICATION OF YOUR DOCUMENTS

Provide certified copies of identity documents.

- Certification must be within the last three months.
- Any birth certificates that have been issued before 2003 should be certified or verified.
- The approved person cannot be your spouse, partner, relative or living at the same address as you.
- The approved person could be: a Justice of the Peace, Solicitor, Notary Public, or any other person who has legal authority to take statutory declarations in New Zealand.
- Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, **"I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]"**

PROOF OF ADDRESS

Choose one of the acceptable forms of **proof of address** by sending us a copy of an invoice, statement, letter or contract which shows: The applicant's name, is dated within the last 12 months, shows the full residential address (not a PO Box) and displays the Company logo.

- ☐ Utility provider e.g. water, electricity, gas, telecommunications, Sky TV (or other fixed address media provider)
- ☐ Government or local Government agency e.g. IRD, benefits statement, Council notice
- ☐ New Zealand Bank correspondence
- ☐ Car registration notification/demand
- ☐ Non-Generate KiwiSaver correspondence
- ☐ Insurance company (car, house, contents)
- ☐ Rental tenancy agreement

If you do not have one of the above forms then please provide a copy of an invoice, statement, letter or contract in applicant's name, dated within the **last 3 months**, from one of the following sources:

- ☐ Non-bank, non-KiwiSaver financial institution
- ☐ Insurance company (health, life)

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Statutory Declaration

A statutory declaration made in Australia under the Statutory Declarations Act 1959 must be made before a Judge, a Commissioner of Oaths, a Notary Public, a Justice of the Peace, or any person authorised by the law of that country to administer an oath there for the purpose of a judicial proceeding, or before a Commonwealth representative, or before a solicitor of the High Court of New Zealand.

A statutory declaration made in New Zealand must be made before a person entitled to witness a statutory declaration under the Oaths and Declarations Act 1957 Such as a Justice of the Peace, Solicitor, Notary Public, or a person authorised to take statutory declaration such as a Registrar or Deputy Registrar of the High Court or any District Court or a member of Parliament.

Note: What you write must be true. You can be prosecuted if you make a false declaration. If the statutory declaration is not completed in full, you will be required to have the amendment initialled and dated by the same witness.

Ensure you include your occupation. If you, the member, are either retired or unemployed please note this in the occupation box. Do not leave this field blank.

I (full legal name as seen on ID)

of (full residential address)

Number / Street Name / City / Country

and (occupation (or unemployed/retired))

solemnly and sincerely declare that:

- I have permanently emigrated from New Zealand to Australia and have no intention of returning to live in New Zealand permanently. I confirm that during my membership with KiwiSaver, my primary residence was in New Zealand except for:

Date I left New Zealand (this is required) month / year to current.

(If applicable) in the time of my KiwiSaver membership I have also lived outside of New Zealand during these additional period(s):

From (insert dates)

D	D	M	M	Y	Y	Y	Y
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 to

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

From (insert dates)

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 to

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

- I have read and understood the Privacy Statement.
- I understand that any information I give to Generate may be passed on to my chosen Australian Superannuation fund as reasonably required and I authorise Generate to give such information in relation to this transfer as requested by my chosen Australian Superannuation fund.
- I acknowledge that there may be tax consequences when transferring my KiwiSaver savings to an Australian Superannuation fund, and that I am liable for any such tax consequences.
- I understand that my withdrawal value will be based upon the unit price(s) at the date my request is processed and can be affected by market volatility, PIE tax and eligibility to receive government contributions.
- I understand that my Generate KiwiSaver Scheme account will be closed upon my savings being transferred to my chosen Australian superannuation fund.
- I understand that following a transfer of my Generate KiwiSaver Scheme savings to an Australian superannuation fund I will not be able to transfer them to a third country.
- I understand that my application is subject to the approval of Generate and that Generate may request additional information in support of this application.
- I understand that my application will be unable to be processed if my chosen Australian Superannuation fund named in section 2 of this application does not accept the transferred funds.
- I acknowledge that on the receipt of my funds by the Australian Superannuation fund, Generate and the Trustee will be released from all liabilities in respect of my membership in the Generate KiwiSaver Scheme.
- I understand that the "New Zealand sourced" savings in my Australian Superannuation fund will not be able to be accessed until the age of eligibility of New Zealand Superannuation is reached (currently 65).
- I understand that once my Generate KiwiSaver Scheme savings have been transferred to Australia, they will become (with a few exceptions) subject to the rules and regulations governing the Australian Superannuation fund.

And I make this solemn declaration conscientiously believing the same to be true and by the virtue of the Oaths and Declarations Act 1957.

Signature (of member)

Signature of Parent/Guardian

Declared at (place)

On (date)

Before me (person before whom the declaration is made)

Full Name (of person authorised to take declaration)

Occupation (of person authorised to take declaration)

Signature (of person authorised to take declaration)