

Individual / Joint Withdrawal Form

You can now complete your withdrawal online directly in the Generate app – no form uploads required. If you would like help completing this form, please phone us on 0800 855 322.

Please use this form is to apply for a withdrawal from your Generate Managed Funds account.

Once we receive your completed application, we aim to start processing your application on the next business day. Once the application has been approved, you will be paid into your nominated bank account within 3 business days. Please note, in some circumstances we may require up to 10 days' notice of a withdrawal.

Payments will only be made to the New Zealand bank account that is in the same name as your Generate account. We are unable to make payments to a third party bank account.

The withdrawal value will fluctuate based on the unit price(s) which applies when the withdrawal is processed

Generate Managed Funds Investor Number

Investor 1 Details (Primary account holder) (Please write in capital letters)

Title _____ First Name _____ Surname _____

Date of Birth

Residential Address _____

City _____ Country _____ Postcode _____

Mobile _____ Email _____

IRD No.

If you don't know your IRD number, please call the IRD on 0800 227 774 or visit ird.govt.nz/tasks/find-my-ird-number

Investor 2 Details (if Joint account holder) (Please write in capital letters)

Title _____ First Name _____ Surname _____

Date of Birth

Residential Address _____

City _____ Country _____ Postcode _____

Mobile _____ Email _____

IRD No.

If you don't know your IRD number, please call the IRD on 0800 227 774 or visit ird.govt.nz/tasks/find-my-ird-number

Where to send this form

- Via the app:** You can login to your app and complete the withdrawal online, or upload your completed form for processing **or**
- Email return:** Please scan this completed form and all supporting documentation and email it to us at info@generatewealth.co.nz **or**
- Postal return:** Please send this completed form and all supporting documentation to:
Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142

Individual / Joint Withdrawal Form

Managed Funds Withdrawal Options (Please write in capital letters)

Reason for withdrawal (e.g. general living expenses, asset purchase, holiday, alternative investment). Please note, we are required to provide a reason for withdrawal.

You may choose to withdraw your savings from any of the funds you are invested in. Please select one of the following withdrawal options:

Withdraw all of my savings, close my account and cancel associated Direct Debits;

Withdraw part of my savings (minimum withdrawal of \$500);

Please state the dollar amount from each fund you would like to withdraw:

<input type="checkbox"/> CashPlus	\$ _____	<input type="checkbox"/> Focused Growth	\$ _____
<input type="checkbox"/> Conservative	\$ _____	<input type="checkbox"/> Australasian	\$ _____
<input type="checkbox"/> Fixed Interest	\$ _____	<input type="checkbox"/> Thematic	\$ _____
<input type="checkbox"/> Balanced	\$ _____	<input type="checkbox"/> Global	\$ _____
		Total (minimum \$500)	\$ _____

Withdraw regularly, set up a regular facility to withdraw my savings (minimum withdrawal of \$100).

Start date of regular withdrawal _____ **Frequency** Weekly Fortnightly Monthly Quarterly Yearly

Please state the dollar amount from each fund you would like to withdraw:

<input type="checkbox"/> CashPlus	\$ _____	<input type="checkbox"/> Focused Growth	\$ _____
<input type="checkbox"/> Conservative	\$ _____	<input type="checkbox"/> Australasian	\$ _____
<input type="checkbox"/> Fixed Interest	\$ _____	<input type="checkbox"/> Thematic	\$ _____
<input type="checkbox"/> Balanced	\$ _____	<input type="checkbox"/> Global	\$ _____
		Total (minimum \$100)	\$ _____

Please note, minimum account balance is \$1,000. If the withdrawal takes your balance under this amount, then you may be required to close the account.

If you have selected to close your account any associated direct debits will automatically be cancelled. **For automatic payments, these will need to be cancelled with your bank.**

Bank Account Details

Use bank account details on file from the most recent withdrawal. Proceed to page 3. Use new bank account details as per below.

If this is your first withdrawal, or if you would like the payment to be made to a different bank account please complete the details below and provide proof of your bank account name and number, ensuring the bank logo is clearly displayed.

We will only make payments in New Zealand dollars to a New Zealand bank account in your name (held individually or jointly). We will not pay to a business bank account.

Account Holder Name _____

Account Number

Bank _____ Branch _____

Proof of bank account (if using a new bank account)

Please provide proof of your bank account, clearly showing the account name and account number, ensuring that the bank logo is included by supplying any one of the following. Any withdrawal requests will be paid into this bank account. We are unable to make payments to third party bank accounts.

- a copy of a bank statement dated within the last 12 months
- an over-the-counter printed receipt with a tellers stamp
- an online bank account statement with the name of the bank in the header/footer
- Bank correspondence with the account name and account number, dated within the last 12 months

Individual / Joint Withdrawal Form

Electronic Verification of Identity and Proof of Address (Required for all Signatories)

If you agree to Electronic Verification of Identity please tick the box below. If we are unable to identify you using this method or you do not consent, you will need to provide certified ID and address documents as per the Non-Electronic Verification of Identity and Proof of Address section below.

Electronic Verification of Identity and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way.

I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.

I have included a copy of my identification – either a current signed Passport or current Driver Licence (front & back) from New Zealand or Australia. Please note, if we are unable to identify you using this method, we will contact you.

Pursuant to Australian legislative requirements Generate must provide you with the following information if you use any Australian identification documents:

Generate uses identity verification services to verify your identity.

In verifying your information, Generate complies with both the New Zealand Privacy Act 2020 and our Privacy Statement and your rights in relation to your data are included in both the Act and our Privacy Statement at generatewealth.co.nz/privacy-statement/. Generate’s use of identity verification services involves third party systems and services.

If you decline or cannot give your consent to Generate’s online identity verification process you may be asked to meet face-to-face with an advisor or alternatively obtain certification of the necessary identification documentation by a trusted referee. This can be a Justice of the Peace, Solicitor or Notary Public.

DVS means Document Verification Service and in Australia it is managed by the Framework Administrator represented by the Australian Attorney General’s department. You can get information regarding the operation and management for Australian identity documents at architecture.digital.gov.au/document-verification-service-dvs.

Generate’s complaints process is available at generatewealth.co.nz/complaints/.

Generate assumes no responsibility or liability to you for errors in the provision of identity verification services or for any actions taken based on the verification information provided.

Non-Electronic Verification of Identity and Proof of Address

If you have opted not to use Electronic Verification of Identity, you will need to provide the following documentation to complete your application.

CERTIFIED COPY OF IDENTIFICATION

OPTION 1

- Passport; or
- New Zealand Firearms Licence.

OPTION 2

- Birth Certificate; or
- New Zealand Driver Licence ; or
- Citizenship Certificate.

AND one of the following:

- Kiwi Access Card (18+); or
- Tertiary Student Photo ID; or
- Current International Driving Permit; or
- NZ Bank Credit Card with photo.

CERTIFICATION OF YOUR DOCUMENTS

Provide certified copies of identity documents.

- Certification must be within the last three months.
- Any birth certificates that have been issued before 2003 should be certified or verified.
- The approved person cannot be your spouse, partner, relative or living at the same address as you.
- The approved person could be: a JP; Chartered Accountant; Lawyer; Police Officer; Registered Teacher; Registered Doctor or any other person who has legal authority to take statutory declarations in New Zealand.
- Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, **“I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]”**

PROOF OF ADDRESS

Choose one of the acceptable forms of **proof of address** by sending us a copy of an invoice, statement, letter or contract which shows: The applicant’s name, is dated within the last 12 months, shows the full residential address (not a PO Box) and displays the Company logo.

- Utility provider e.g. water, electricity, gas, telecommunications, Sky TV (or other fixed address media provider)
- Government or local Government agency e.g. IRD, benefits statement, Council notice
- New Zealand Bank correspondence
- Car registration notification/demand
- Non-Generate KiwiSaver correspondence
- Insurance company (car, house, contents)
- Rental tenancy agreement

If you do not have one of the above forms then please provide a copy of an invoice, statement, letter or contract in applicant’s name, dated within the **last 3 months**, from one of the following sources:

- Non-bank, non-KiwiSaver financial institution
- Insurance company (health, life)

An employee or agent of Generate can verify original documents by sighting the original documents and then making the following statement “I verify that the attached documents are true copies of the original documents and that they represent the identity of [full name of the person being identified]”

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Privacy Statement

Generate Investment Management Limited (or Generate group companies), Public Trust, any of their authorised agents, and any distributor (each an "Authorised Person") may collect and hold the personal information that you provide to us as part of this application.

Your information will be used by Generate and the Supervisor to manage your relationship with Generate and the Supervisor, to provide products and services to you, to comply with any applicable laws, to offer you further products and services that may be of interest to you and for any other use for which you have given authorisation. We may also disclose your personal information for these purposes to our staff members, related companies, our third party service providers and to the Financial Markets Authority or other applicable regulators. Generate may further use your information to electronically verify your identity. We may pass your information to and check it with the document issuer, official record holder and authorised third parties that Generate has contracted to carry out the verification process. Generate may share your information and the results of the verification process with appropriate third parties (such as a distributor or adviser that will or has been providing services to you) to enable that third party to comply with any applicable laws.

You may request a copy of the information held about you, and if any of the information is incorrect, ask for it to be corrected. You can do this by contacting us by email or call us on 0800 855 322.

For further information about how we handle your personal information, please read our Privacy Statement available at generatewealth.co.nz/privacy-statement/.

Declaration

I confirm:

- I am an investor of the Generate Managed Funds Scheme.
- I understand that my withdrawal value will fluctuate based on the unit price(s) which applies when the withdrawal is processed and that fees, taxes and expenses may be deducted from my Generate Managed Funds account.
- That either account holder can transact on the account individually.
- I have read the privacy statement in this form.

Signature of Applicant

_____ Date Signed

Signature of Applicant

_____ Date Signed

If you have signed electronically, please upload your application via the Generate app or alternatively if you are emailing the document to us, please use your registered email address.

Document Checklist

Please complete the checklist below and supply all the relevant supporting documents.

- Complete the withdrawal form.
- Provide proof of your bank account (refer to page 2).
- If relevant, provide proof of identity and address by Electronic Verification of Identity consent and documents or certified proof of identity (refer to page 3).